

Discover Ocean Park's Tactically Managed Active ETFs

Ocean Park's four active exchange traded funds (ETFs) combine the advantages of ETFs with a tactical, rules-based process. The ETFs seek to diversify across multiple asset classes, and each ETF's investment objective is to provide total return and limit exposure to downside risk.

EQUITY		
		INVESTIBLE UNIVERSE
Ocean Park Domestic ETF	DUKQ	The Ocean Park Domestic ETF can invest in US Equity ETFs that are exhibiting uptrends. Examples of ETFs that the Fund can invest in include those based on market capitalization, styles, factors, sectors and industries. The Fund can also be invested in cash equivalents when US Equity ETFs are not in uptrends.
Ocean Park International ETF	DUKX	The Ocean Park International ETF can invest in International Equity ETFs, which include Emerging Market ETFs, that are exhibiting uptrends. Examples of ETFs that the Fund can invest in include those based on market capitalization, styles, factors, regions and countries. The Fund can also be invested in cash equivalents when International Equity ETFs are not in uptrends.
FIXED INCOME		
Ocean Park Diversified Income ETF	DUKZ	The Ocean Park Diversified Income ETF can invest in areas of the bond market that are exhibiting uptrends. Examples of ETFs that the Fund can invest in include those that invest in Treasuries, investment grade and high yield corporate bonds, investment grade and high yield municipal bonds, mortgage-backed securities, developed international and emerging market bonds, convertible bonds, preferred securities and bank loans. The Fund can also be invested in cash equivalents when fixed income ETFs are not in uptrends.
Ocean Park High Income ETF	DUKH	The Ocean Park High Income ETF can invest in higher yielding areas of the bond market that are exhibiting uptrends. Examples of ETFs that the Fund can invest in include those that invest in high yield corporate and municipal bonds, emerging market bonds, preferred securities and bank loans. The Fund can also invest tactically in Treasury ETFs and can be invested in cash equivalents when high income and Treasury ETFs are not in uptrends.

WHEN TO SELL



Trailing Stop Discipline

A Trailing Stop Discipline

Our investment process is based upon our proprietary Trailing Stop Discipline, which has the objective of limiting the magnitude of portfolio drawdowns. It is based on a manual process that defines sell signals for security holdings in decline. Importantly, in times of turbulence, our strategies can tilt to 100% cash exposure.

Ocean Park ETFs Investment Objectives: To provide total return and to limit exposure to downside risk.

Ocean Park Domestic ETF

The Fund tactically allocates between US Equity ETFs and cash equivalents. The universe of US ETFs is unconstrained and primarily includes those based on market capitalization, styles, factors, sectors and industries.

The Fund applies a proprietary trend following-based strategy that utilizes banded moving averages to generate buy and sell signals. Cash equivalents and/or short-term bond funds are held in the absence of uptrends.

Ocean Park International ETF

The Fund tactically allocates between International Equity ETFs and cash equivalents. The universe of International ETFs is unconstrained and primarily includes those based on market capitalization, styles, factors, regions and countries. International Equity ETFs include both developed and emerging markets.

The Fund applies a proprietary trend following-based strategy that utilizes banded moving averages to generate buy and sell signals. Cash equivalents and/or short-term bond funds are held in the absence of uptrends.

Ocean Park Diversified Income ETF

The Fund tactically allocates between fixed income ETFs and cash equivalents. The universe of fixed income ETFs is unconstrained and primarily includes those based on U.S. Treasuries, investment grade and high yield corporate bonds, investment grade and high yield municipal bonds, mortgage-backed securities, developed international and emerging market bonds, convertible bonds, preferred securities and bank loans.

The Fund applies a proprietary trend following-based strategy that utilizes banded moving averages to generate buy and sell signals. Cash equivalents and/or short-term bond funds are held in the absence of uptrends.

Ocean Park High Income ETF

The Fund tactically allocates between higher yielding ETFs, long-term U.S. Treasury ETFs and cash equivalents. The universe of higher yielding ETFs is unconstrained and primarily includes those based on high yield corporate bonds, high yield municipal bonds, emerging market bonds, preferred securities and bank loans.

The Fund applies a proprietary trend following-based strategy that utilizes banded moving averages to generate buy and sell signals. When high yielding ETFs are not in an uptrend, the Fund may invest in long-term U.S. Treasury ETFs if long-term U.S. Treasury ETFs are in an uptrend. Cash equivalents and/or short-term bond funds are held in the absence of uptrends in either higher yielding ETFs or long-term U.S. Treasury ETFs.

Investors should carefully consider the investment objectives, risks, charges, and expenses of the Ocean Park funds. This and other information about the funds is contained in the prospectus and should be read carefully before investing. The prospectus can be obtained on our website oceanparkam.com or by calling toll free 1-866-738-4363 (1-866-RETI-FND).

RISKS AND DISCLOSURES:

Each ETF has specific risks and the Prospectus should be carefully read before investing.

ETF's are subject to specific risks, depending on the nature of the underlying strategy of the fund. These risks could include foreign risk, emerging market risk, liquidity risk, sector risk, as well as risks associated with fixed income securities, real estate investments, and commodities, to name a few.

While the shares of ETFs are tradeable on secondary markets, they may not readily trade in all market conditions and may trade at significant discounts in periods of market stress. ETFs trade like stocks, are subject to investment risk, fluctuate in market value and may trade at prices above or below the ETF's net asset value. Brokerage commissions and ETF expenses will reduce returns. There is no guarantee that the Fund will achieve its objective.

The ETF's are newly formed and have no operating history.

The Ocean Park funds are distributed by Northern Lights Distributors, LLC, member FINRA/SIPC. Ocean Park Asset Management, LLC is the investment adviser to the Ocean Park funds, and does not provide advisory services to retail clients.

Ocean Park Asset Management, LLC is not affiliated with Northern Light Distributors, LLC.